

#7/ Reg for
Refund

Practitioner's Docket No. MI22-1897

PATENT 09-25-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gurtej S. Sandhu
Application No.: 10/050,347 Group No.: 2813
Filed: January 15, 2002 Examiner: L. Schillinger

Commissioner for Patents and Trademarks
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

I. RETURN OF REFUND REQUEST

This is a request for a refund, with respect to the debit to Deposit Account 23-0925, shown on the statement dated July 5, 2002 for the above-identified application.

A copy of the monthly statement, in which the error referred to occurs, accompanies this request.
A copy of the Transmittal Form, dated June 28, 2002, accompanies this request.
A copy of the postcard receipt, submitted 6/28/02 to the PTO, accompanies this request.
A copy of the Determination of Patent Term; Notice of Allowance, date mailed 7/2/02, accompanies this request.
A copy of the Filing Receipt, accompanies this request.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

FACSIMILE

- XX transmitted by facsimile to the Patent and Trademark Office. 703-308-6778


Signature

Rhonda G. Rambo

(type or print name of person certifying)

Date: Aug. 21, 2002

(Request for Debit (Improper Credit of Deposit Account)—page 1 of 2)

II. FEES CHARGED FOR WHICH REFUND IS REQUESTED

| | AMOUNT OF REFUND REQUESTED |
|---|---|
| Submission of an Information Disclosure Statement | \$180.00 |
| TOTAL REFUND REQUESTED | \$180.00 |

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

The Supplemental Information Disclosure Statement was filed within three months of the filing date (filing date: January 15, 2002) of the application or before the mailing of the first Office Action, whichever occurs last. The Notice of Allowance was mailed from the PTO on July 2, 2002, no earlier Office Action on the merits was mailed from the PTO.

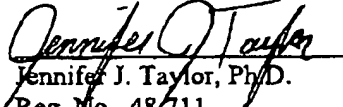
Therefore, no fee is believed to be required. Please credit Deposit Account No. 23-0925 with the above fee amount.

IV. MANNER OF REFUND

Please Credit Account No. 23-0925.

Date:

August 21, 2002


Jennifer J. Taylor, Ph.D.
Reg. No. 48,711
Wells St. John P.S.
601 West First Ave., Suite 1300
Spokane, WA 99201-3828
Tel. No.: (509) 624-4276
Customer No.: 021567

(Request for Debit (Improper Credit of Deposit Account)—page 2 of 2)



UNITED STATES
PATENT AND
TRADEMARK OFFICE

Return To:

USPTO
Home
PageFinance
Home
PageDeposit Account Statement

Requested Statement Month: July 2002
 Deposit Account Number: 230925
 Name: WELLS ST JOHN & ROBERTS GREGORY & MATKIN PS
 Attention: ATTN BARBARA SCHURRA
 Address: 601 WEST FIRST AVENUE SUITE 1300
 City: SPOKANE
 State: WA
 Zip: 99201-3817

| DATE | SEQ | POSTING REF TXT | ATTORNEY DOCKET NBR | FEE CODE | AMT | BAL |
|-------|-----|--------------------|---------------------------|-------------|-----------|-------------|
| 07/01 | 3 | PCT/US02/16616 | ME37-006 | 151 | \$250.00 | \$15,388.00 |
| 07/02 | 1 | 09311911 | 015-050 | 102 | \$168.00 | \$15,220.00 |
| 07/02 | 286 | 1984035 | AK1-102 | 372 | \$100.00 | \$15,120.00 |
| 07/02 | 287 | 1984035 | AK1-102 | 373 | \$200.00 | \$14,920.00 |
| 07/02 | 569 | 76121414 | P04-1141 | 364 | \$150.00 | \$14,770.00 |
| 07/03 | 214 | 76240638 | P04-1152 | 363 | \$100.00 | \$14,670.00 |
| 07/03 | 233 | 2019442 | OY1-1028 | 372 | \$100.00 | \$14,570.00 |
| 07/03 | 234 | 2019442 | OY1-1028 | 373 | \$200.00 | \$14,370.00 |
| 07/03 | 259 | 2047235 | KA2-1005 | 372 | \$100.00 | \$14,270.00 |
| 07/03 | 260 | 2047235 | KA2-1005 | 373 | \$200.00 | \$14,070.00 |
| 07/03 | 274 | 2046073 | H043-1001 | 372 | \$100.00 | \$13,970.00 |
| 07/03 | 275 | 2046073 | H043-1001 | 373 | \$200.00 | \$13,770.00 |
| 07/05 | 47 | SUBSCRIPTION | | 561 | \$3.00 | \$13,767.00 |
| 07/05 | 220 | 10050347 | MI22-1897 | 126 | \$180.00 | \$13,587.00 |
| 07/09 | 286 | PCT/US02/16616 | ME37-006 | 704 | -\$250.00 | \$13,837.00 |
| 07/10 | 5 | 09749041 | MI22-1596 | 148 | \$110.00 | \$13,727.00 |
| 07/11 | 1 | 09687600 | M122-15550 | 103 | \$36.00 | \$13,691.00 |
| 07/11 | 520 | 76277376 | P04-1165 | 363 | \$100.00 | \$13,591.00 |
| 07/11 | 539 | 2048782 | C052-1002 | 372 | \$100.00 | \$13,491.00 |
| 07/11 | 540 | 2048782 | C052-1002 | 373 | \$200.00 | \$13,291.00 |
| 07/11 | 579 | 2074624 | P046-1001 | 372 | \$100.00 | \$13,191.00 |
| 07/12 | 1 | 09428125 | MI22-1299 | 126 | \$180.00 | \$13,011.00 |
| 07/12 | 12 | 09659869 | 1057-1330-5061 DIV2 | 179 | -\$30.00 | \$13,041.00 |
| 07/12 | 155 | 09322398 | G025-002 | 242 | \$640.00 | \$12,401.00 |
| 07/12 | 156 | 09322398 | G025-002 | 561 | \$9.00 | \$12,392.00 |
| 07/12 | 176 | 78143152 | C031-1001 | 361 | \$650.00 | \$11,742.00 |
| 07/15 | 17 | 09881407 | MI22-1670 | 126 | \$180.00 | \$11,562.00 |
| 07/16 | 15 | 09059057 | MI22-785 | 179 | \$740.00 | \$10,822.00 |

Please type a plus sign (+) inside this box ☒

PTO/SB/21 (8-88)
 Approved for use through 09/30/2000. OMB 0651-0031
 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|---|----------------------------------|------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/050,347 |
| | Filing Date | January 15, 2002 |
| | First Named Inventor | Gurtej S. Sandhu |
| | Group Art Unit | 2813 |
| | Examiner Name | Schillinger, L. |
| Total Number of Pages in This Submission | Attorney Docket Number MI22-1897 | |

| ENCLOSURES (check all that apply) | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Apparatus, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> Return Receipt Postcard PTO-1449 and copies of the cited references </div> |
| Remarks No Funds Due. | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Jennifer J. Taylor, Ph.D.; Wells St. John P.S. |
| Signature | <i>Jennifer J. Taylor</i> |
| Date | 6-12-2002 |

| CERTIFICATE OF MAILING | |
|--|---|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: | |
| Typed or printed name | EV077332358 |
| Signature | |
| Date | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

M22-1887

Micron Technology, Inc.

8/28/02

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the following papers relating to the Patent application of Sandhu et al., Serial No. 10/050,347, filed January 18, 2002, entitled "Methods of Forming Transistors".

1. PTO Return Postcard Receipt
2. Transmittal Form (PTO/SB/21)
3. Supplemental Information Disclosure Statement w/PTO-1449 and copies of the cited references
4. Associate Power of Attorney

EV077332358US



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20531
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|----------------------|------------------|
| 10/050,347 | 01/15/2002 | Gurtej S. Sandhu | MI22-1897 | 7532 |
| 021567 | 7590 | 07/02/2002 | EXAMINER | |
| WELLS ST. JOHN P.S. 601 W. FIRST SUITE 1300 SPOKANE, WA 99201-3828 | | | SCHILLINGER, LAURA M | |
| | | | ART UNIT | PAPER NUMBER |

2813
DATE MAILED: 07/02/2002

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (<http://pair.uspto.gov>)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
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NOTICE OF ALLOWANCE AND FEE(S) DUE

021567

7590

07/02/2002

WELLS ST. JOHN P.S.
601 W. FIRST
SUITE 1300
SPOKANE, WA 99201-3828

EXAMINER

SCHILLINGER, LAURA M

ART UNIT

CLASS-SUBCLASS

2813

438-287000

DATE MAILED: 07/02/2002

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/050,347 | 01/15/2002 | Gurtej S. Sandhu | MI22-1897 | 7532 |

TITLE OF INVENTION: METHODS OF FORMING TRANSISTORS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1280 | \$300 | \$1580 | 10/02/2002 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS** FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status.
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | RL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|--------------|-----------------|----------|------------|------------|
| 10/050,347 | 01/15/2002 | 2813 | 758 | MI22-1897 | 3 | 21 | 2 |

021567
WELLS ST. JOHN P.S.
801 W. FIRST
SUITE 1300
SPOKANE, WA 89201-3828

CONFIRMATION NO. 7532

FILING RECEIPT

OC000000007860757

Date Mailed: 04/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gurtej S. Sandhu, Boise, ID;
John T. Moore, Boise, ID;
Neal R. Rueger, Boise, ID;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/633,556 08/07/2000

Foreign Applications

If Required, Foreign Filing License Granted 04/12/2002

Projected Publication Date: 07/25/2002

Non-Publication Request: No

Early Publication Request: No

Title

Methods of forming transistors

Preliminary Class

438

TOTAL P.08

08/21/02 WED 12:37 [TX/RX NO 8591] 008